
CSE 3400/CSE 5850 - Introduction to Cryptography &
Cybersecurity
/ Introduction to Cybersecurity)

Lecture 5
Message Authentication Codes

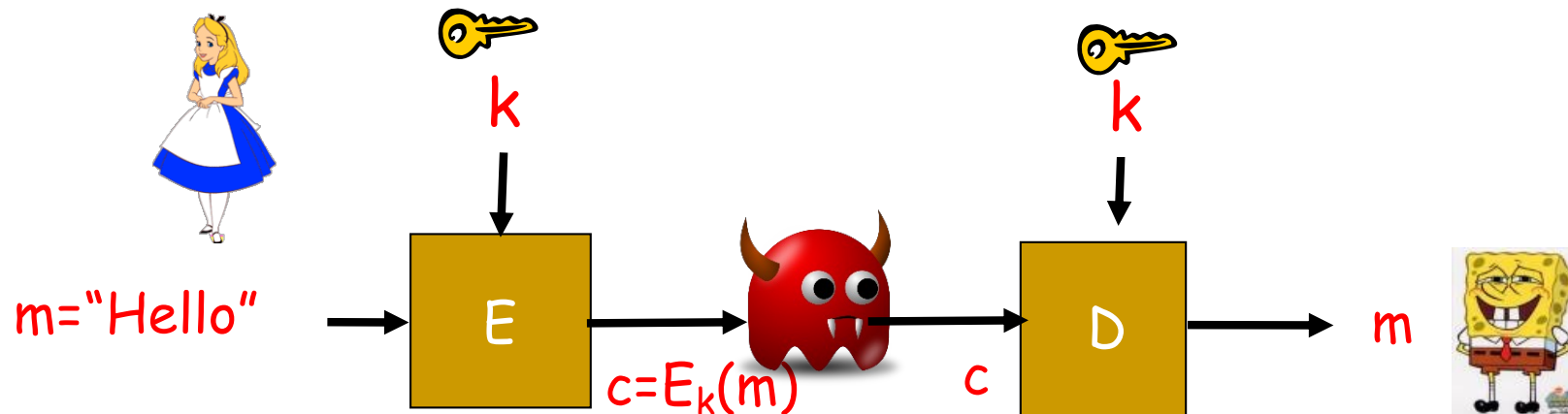
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Adapted from the textbook slides

Outline

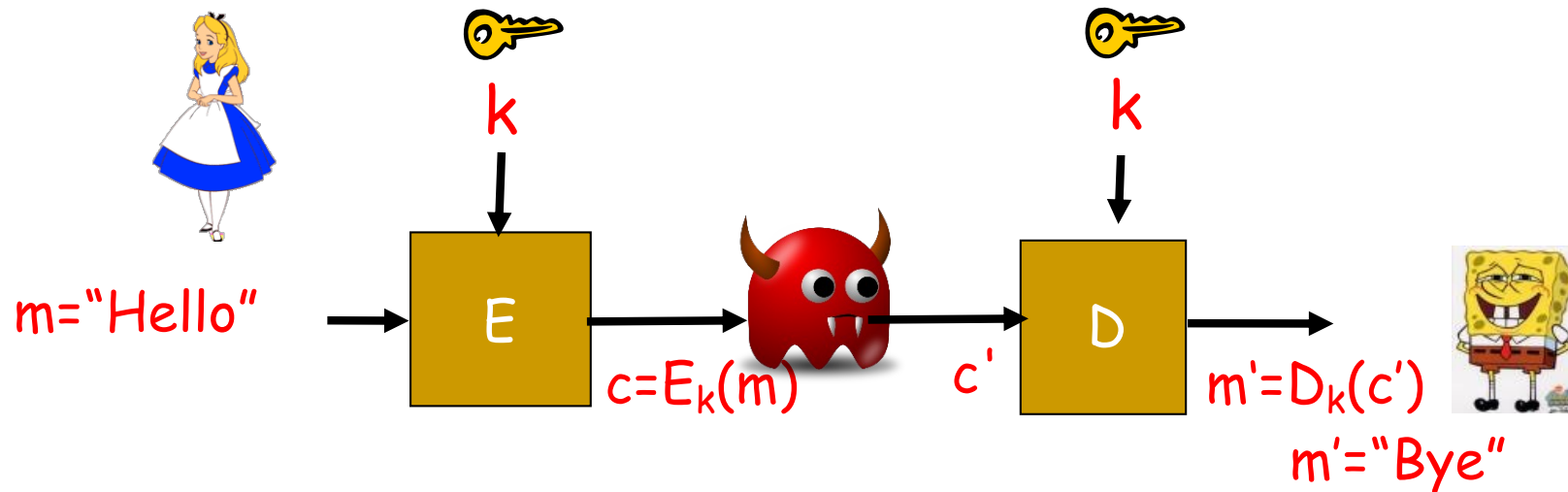
- Motivation.
- Message authentication codes (MACs) definition.
- MAC security definition.
- MAC constructions.
- Combining message authentication and encryption.

Encryption Ensures Confidentiality



- ❑ Man-in-the-Middle attacker
'learns nothing' about message

Integrity and Authentication?



- ❑ How can the recipient know that the message was not tampered with and it is the original one sent by the sender?

Does Encryption Prevent Forgery?

- ❑ Cannot be guaranteed.
 - ❑ Several secure encryption schemes are malleable (an attacker might be able to alter the ciphertext, and hence, the decrypted plaintext will be different).
- ❑ Clearly not for bitwise stream ciphers (& OTP).
 - ❑ Given $c = m \oplus k$, attacker can send $c \oplus \text{mask}$, to invert any bit in decrypted message.
- ❑ Example, send “Pay Bob \$100” encrypted using OTP.
 - ❑ Eve can change it to “Pay Eve \$100” (note that this is a KPA attacker). How?
 - ❑ Take the ciphertext of the letter “B” above, denote it as $c[4]$.
 - ❑ Note that $c[4] = k[4] \oplus \text{“B”}$ (note that we do know the key!)
 - ❑ Compute a mask that does the following: $c[4] \oplus \text{mask} = k[4] \oplus \text{“E”}$ (this boils down to computing $\text{“B”} \oplus \text{mask} = \text{“E”}$)
 - ❑ Repeat that for the rest of the letters.

Message Authentication Codes (MACs)

- A MAC allows a recipient to **validate** that a message was **not tampered** with and that it was sent by a **key holder**

It is a symmetric key setup!



Key k

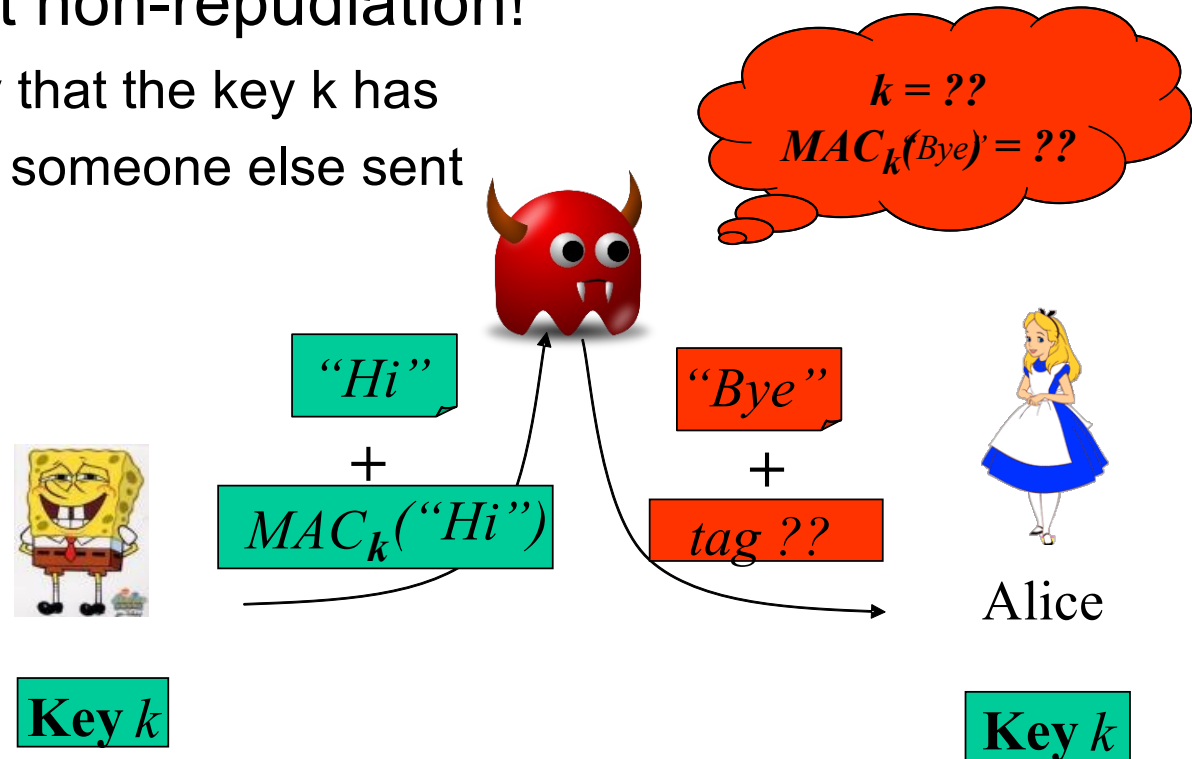
$m = \text{"Hi"}, \text{MAC}_k(m)$

Valid MAC \rightarrow Only Sponge and I know k . So he sent m .

Key k

Message Authentication Codes (MACs)

- Use shared key k to authenticate messages
- Pair (tag, m) is valid iff $tag = MAC_k(m)$
- Very efficient
- Does not support non-repudiation!
 - Sponge may say that the key k has been stolen, and so someone else sent the message.



Defining MAC Security

- Following the ‘conservative design principle’:
- Consider most powerful attacker
 - Let attacker receive tag for any message it wants (so it has an oracle access to MAC_k).
- And ‘easiest’ attacker-success criteria
 - Attacker wins if it can produce a valid tag for any message
 - Except for these that the attacker asked to authenticate

MAC Security Definition

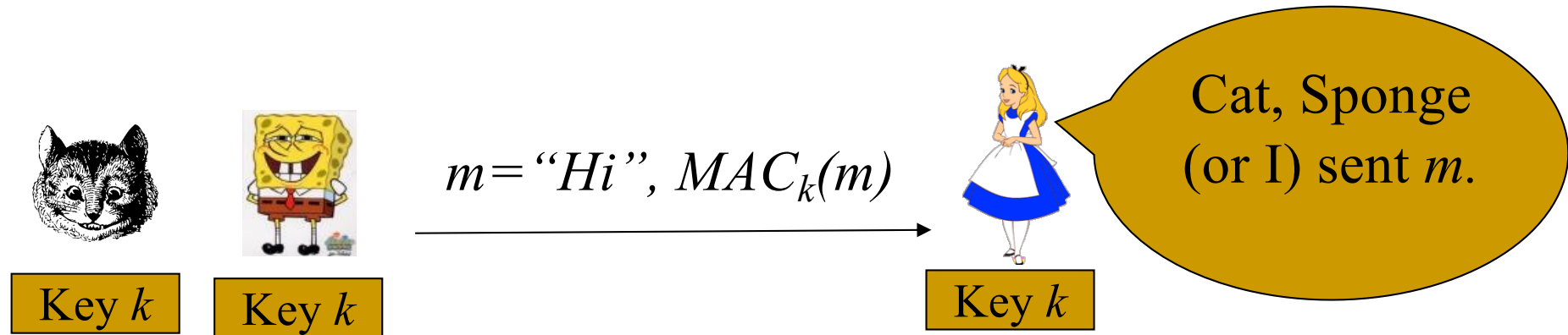
Definition 4.1 (MAC). For any given integer l and domain D , a function $f^{MAC} : \{0,1\}^* \times D \rightarrow \{0,1\}^l$ is called a (secure) MAC if for all efficient algorithms \mathcal{A} , the advantage function $\varepsilon_{f^{MAC}, \mathcal{A}}^{MAC}(n)$ is negligible in n ($\varepsilon_{f^{MAC}, \mathcal{A}}^{MAC}(n) \in NEGL(n)$), i.e., smaller than any positive polynomial for sufficiently large n (as $n \rightarrow \infty$), where:

$$\varepsilon_{f^{MAC}, \mathcal{A}}^{MAC}(n) \equiv \Pr_{k \xleftarrow{\$} \{0,1\}^n} \left[(m, f_k^{MAC}(m)) \leftarrow \mathcal{A}^{f_k^{MAC}(\cdot | \text{except } m)}(1^n) \right] - \frac{1}{2^l} \quad (4.1)$$

The probability is taken over the uniformly-random choice of an n bit key, $k \xleftarrow{\$} \{0,1\}^n$, as well as over the coin tosses of \mathcal{A} .

On the Use of MACs

- $MAC_k(m)$ may expose information about m !
 - Example: Let MAC be any secure MAC. Define $MAC'_k(m) = MAC_k(m) || Lsb(m)$, where Lsb is least significant bit.
- MAC shows a key-holder computed it
 - Could be any key holder (even recipient)...
- Replay attacks: an old message (and its tag) is being resent.
 - Need to Ensure freshness (more about this later).



Constructing MAC: Three Approaches

1. Design `from scratch`, validate security by failure to cryptanalyze
 - ❑ Huge effort, risk → do only for few `building blocks`
 - ❑ Maybe from EDC (Error Detection Code), but it is not secure for every EDC.
2. Robust combiner of (two) MAC candidates:
 - ❑ $MAC_{k,k'}(m) = f_k(m) || f'_{k'}(m)$, $MAC_{k,k'}(m) = f_k(m) \oplus f'_{k'}(m)$ are secure MAC, if *either* f **or** f' is a secure MAC.
3. Provable-secure constructions from:
 - ❑ PRF/PRP/Block ciphers (next)
 - ❑ First: PRF/PRP → Fixed-Input-Length (FIL) MAC
 - ❑ Hash functions (later) – even more efficient.

Theorem: every PRF is also a MAC

Let F be a PRF from domain D to range $\{0,1\}^l$.
Then F is also an l -bit MAC for D .

- Proof sketch: construct an attacker against PRF using the attacker against the MAC.
 - For a random function, the outcome of any `new' value is random.
 - So, probability of guessing is 2^{-l} .
 - If a `new' outcome of a PRF can be guessed with significantly higher probability (which is the MAC over a new message), then we can distinguish between it and a random function! ■

Every PRF is also a MAC

- A PRF is a MAC for l -bit messages.
- (l, n) -bit FIL MAC from n -bit PRP (block cipher):
use CBC-MAC – a variant of CBC
 - What standard crypto function can we use as a PRF?
 - A block cipher ? But ...

Using a Block Cipher for MAC

- **Problem 1:** block cipher is PRP, not PRF
 - Solution: the switching lemma says that a PRP is also a PRF!

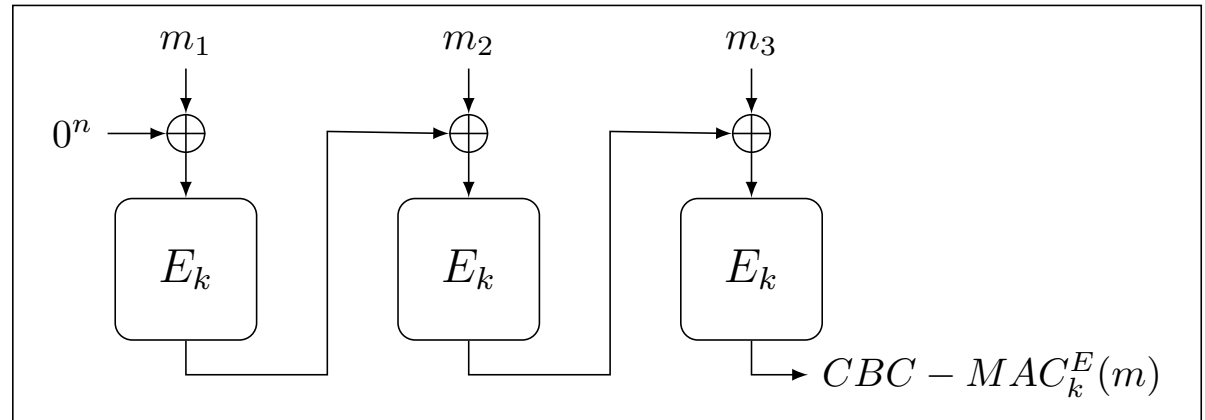
Using a Block Cipher for MAC

- **Problem 2:** block ciphers are defined only for (short) fixed input length (FIL)
 - Ideally a MAC should work for any input string (Variable Input Length – VIL)
 - We already had a similar problem... where?
 - Block ciphers.
 - We solved by using various encryption modes of operation.
 - A solution for MACs: the CBC-MAC mode of operation!

Cipher Block Chaining MAC: CBC-MAC

Split plaintext m into blocks

Fixed, known (zero)
Initialization Vector (IV)



The tag is the cipher of the last block

$$CBC-MAC_k^E(m_1 || m_2 || \dots || m_l) = E_k(m_l \oplus E_k(\dots E_k(m_1)))$$

Recall: MACs are
deterministic functions

CBC-MAC

- ❑ Widely deployed standard
- ❑ More efficient ‘modes’ exist
 - ❑ E.g., allow for parallel computation.
- ❑ It is also provably secure.

Theorem [BKR94]: if E is a FIL-PRF for domain $\{0,1\}^n$, then $CBC-MAC^E$ is a PRF for domain $\{0,1\}^{ln}$ (for $l > 1$).

- Corollary: ... then $CBC-MAC^E$ is a $\{0,1\}^{ln}$ -MAC

But what of VIL (variable-length input) MAC?

CBC-MAC-based VIL-MAC

- Is CBC-MAC^E a VIL-MAC?

- *No!*

- Ask for $b = \text{CBC-MAC}_k^E(a) = E_k(a)$;
- then output (ac, b) so $m = ac$ with $\text{tag} = b$ where $c = a \oplus b$.
- This is valid, since the attacker did not ask the oracle for a tag for ac and b for ac is a valid tag since
$$\text{CBC-MAC}_k^E(ac) = E_k(c \oplus E_k(a)) = E_k(c \oplus b) = E_k(a \oplus b \oplus b) = E_k(a) = b.$$

- Solution: prepend message length (called CMAC)

- Let $\text{CMAC}_k^E(m) = \text{CBC-MAC}_k^E(L(m) || m)$
 - Where $L(m)$ is a 1-block encoding of $|m|$
- CMAC is a secure VIL MAC construction!

Examples of MAC Constructions

□ Are the following constructions a secure MAC:

1. Let E_k be a block cipher that takes input of length n bits. For a message m of length $2n$ bits, compute the tag as:

$$\text{MAC}_k(m) = E_k(m_L) \text{ xor } E_k(m_R)$$

2. Let G be a secure PRG. For a message m of length n bits, compute the tag as:

$$\text{MAC}_k(m) = k \text{ xor } \text{PRG}(m)$$

Combining Authentication and Encryption

- ❑ For confidentiality, use encryption
- ❑ For authentication, use MAC
- ❑ For both confidentiality and authentication?
 - ❑ Option 1: Combine MAC and encryption
 - ❑ Possible pitfalls (vulnerabilities)
 - ❑ Option 2: authenticated-encryption schemes (or modes)
 - ❑ Easier to deploy (securely)
 - ❑ Generic combination of MAC and Encryption schemes
 - ❑ Or direct combined constructions (can be more efficient)
 - ❑ Might be ad-hoc or rely on complex or less-tested security assumptions.

Generic MAC and Encryption Combinations

- ❑ Three standards, three ways...
 - ❑ Authenticate and encrypt (A&E):
 - ❑ $c = \text{Enc}(m)$, $\text{tag} = \text{MAC}(m)$, send (c, tag)
 - ❑ Authenticate then encrypt (AtE):
 - ❑ $\text{tag} = \text{MAC}(m)$, $c = \text{Enc}(m, \text{tag})$, send c
 - ❑ Encrypt then authenticate (EtA):
 - ❑ $c = \text{Enc}(m)$, $\text{tag} = \text{MAC}(c)$, send (c, tag)
- ❑ Some of these may be vulnerable even when combining some secure encryption and MAC schemes!

Security of Generic MAC/Enc Combinations

- ❑ A&E may be vulnerable!
 - ❑ Example:
 - ❑ Let MAC be any secure MAC scheme
 - ❑ Let $\text{MAC}'_k(m) = \text{MAC}_k(m) || \text{lsb}(m)$
 - ❑ MAC' is a secure MAC.
 - ❑ But A&E(m) leaks least significant bit of m (even if the encryption scheme is secure!!!).
 - ❑ Recall that the security guarantee of a MAC is about integrity (or preventing forgery)!
 - ❑ It has nothing to do with confidentiality!
- ❑ What about AtE, EtA ?
 - ❑ AtE: also may be vulnerable (not IND-CPA)!

Security of Generic MAC/Enc Combinations

- How about EtA ? **Provably CCA-Secure [CK01]!**
 - → Secure encryption; otherwise attack $\text{Enc}(m)$ by appending MAC
 - → Secure authentication, since any change in $(c, \text{MAC}(c))$ is detected
 - Also: reject fake messages w/o decryption
 - efficiency and foil Denial of Service (DoS), CCA attacks
 - Note: using separate keys for Enc and MAC; what if we use same key?

Keys for MAC and Encryption?

Using same key for MAC+Encryption? Insecure

- Exercise: show (contrived) examples vulnerabilities:

- A&E: both vulnerable...

$$E_{k',k''}(m) = E'_{k'}(m) || k''$$

$$MAC_{k',k''}(m) = MAC_{k''}(m) || k'$$

- (you can show other contrived examples for the other combinations.)

- So: should we use two independent keys?

- Overhead: key generation, transmission, storage

- Secure enc+MAC – using a single key? Use PRFS

Solution: $k_{mac} := PRF_k(MAC')$, $k_{enc} := PRF_k('Encrypt')$

Covered Material From the Textbook

- ❑ Chapter 4

- ❑ Sections 4.1 – 4.4 except sections 4.4.1, 4.4.5.

- ❑ Section 4.5.3 (only what we covered in class) except sections 4.5.3.4, 4.5.4, 4.5.5

Thank You!

