# CSE 3400 / CSE 5850 Introduction to Cryptography and Cybersecurity / Introduction to Cybersecurity

Lecture 4
Encryption – Part III
(and Pseudo-randomness)

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Adapted from the textbook slides

#### Outline

- Block ciphers.
- Pseudorandom permutations (PRPs).
- Defining security of encryption.
- Encryption modes.

#### Block Ciphers

- A pair of algorithms E<sub>k</sub> and D<sub>k</sub> (encrypt and decrypt with key k) with domain and range of {0,1}<sup>n</sup>
  - Encrypt and decrypt data in blocks each of which is of size n bits.
- Conventional correctness requirement: m = Dk(Ek(m))
- Security requirement of block ciphers is to be a pair of Pseudorandom Permutations (PRP).

So what is a Random Permutation?

And what is a PRP?

#### What is a random **permutation** $\rho$ ?

- Random permutation  $\rho$  over finite domain D, usually:  $\{0,1\}^m$
- How can we select a random permutation  $\rho$  ?
- Let  $D = \{x_1, x_2, ..., x_n\}$
- For i = 1, ..., n:

$$\rho(x_i) \stackrel{\$}{\leftarrow} D - \{\rho(x_1), \rho(x_2), ..., \rho(x_{i-1})\}$$

Examples:

		ho( )
Domain D $\{0,1\}^2$	00	10
	01	11
	10	00
	11	01

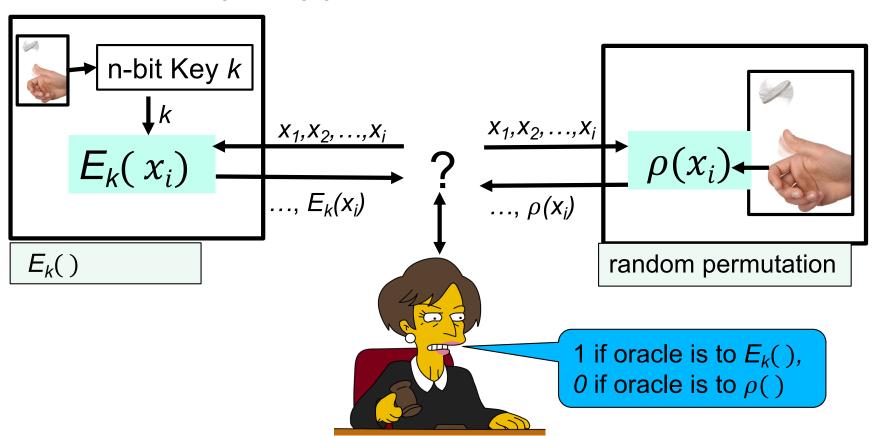
Domain D  $\{0,1\}^2$ 

	$\rho$ ()
00	00
01	01
10	10
11	11

#### Pseudorandom Permutation (PRP)

#### and their Indistinguishability Test

- E is a PRP over domain D, if no distinguisher or attacker A can distinguish E from a random permutation with non-negligible probability.
  - So A's advantage is negligible.



$$\varepsilon_{\mathcal{A},E}^{PRP}(n) \equiv \Pr_{k \overset{\$}{\leftarrow} \{0,1\}^n} \left[ \mathcal{A}^{E_k}(1^n) \right] - \Pr_{\rho \overset{\$}{\leftarrow} Perm(D)} \left[ \mathcal{A}^{\rho}(1^n) \right]$$

## Block Cipher: Invertible PRP (E, D)

- Common definition for block cipher
- Invertible Pseudorandom Permutation (PRP):
  - A pair of PRPs (E,D), such that  $m=D_k(E_k(m))$
  - And (E,D) is indistinguishable from  $(\rho, \rho^{-1})$ 
    - where  $\rho$  is a random permutation (sometimes it is called  $\pi$  )
  - Note: it is deterministic, stateless → not secure encryption!
    - But used to construct encryption (soon)

$$f \leftarrow E_k() \text{ or } D_k() \text{ [for random } k]$$

$$f \leftarrow random \text{ } n\text{-}bit \text{ } permutation \text{ } or \text{ } its \text{ } inverse$$

$$\text{Can't tell if } (f, f^{-1}) \text{ is a random permutation + inverse, } or \text{ it is } (E, D) \text{ with a random key!}$$

$$\varepsilon_{\mathcal{A},(E,D)}(n) \equiv \Pr_{k \overset{\$}{\leftarrow} \{0,1\}^n} \left[ \mathcal{A}^{E_k,D_k}(1^n) \right] - \Pr_{\rho \overset{\$}{\leftarrow} Perm(D)} \left[ \mathcal{A}^{\rho,\rho^{-1}}(1^n) \right]$$

## Examples

- Given  $E_k(m) = m + k \mod 2^n$  where n is a large integer, answer the following:
  - What is the decryption algorithm?
  - Is this construction a secure block cipher? Why?
- $\square$  Given  $E_k(m) = m \oplus k$ , answer the following:
  - What is the decryption algorithm?
  - ☐ Is this construction a secure block cipher? Why?

## Constructing block-cipher, PRP

- $\square$  Focus: constructions from a PRF  $f_k()$ 
  - ☐ PRFs seem easier to design (less restrictions)
- $\square$  First: 'plain' PRP  $E_k()$  (not a block cipher)
- $\square$  What is the simplest construction to try?  $E_k(x) = f_k(x)$

**Lemma 2.2** (The PRP/PRF switching lemma). Let E be a polynomial-time computable function  $E_k(x): \{0,1\}^* \times D \to D \in PPT$ , and let  $\mathcal{A}$  be an efficient adversary. Then:

$$\left| \varepsilon_{\mathcal{A},E}^{PRF}(n) - \varepsilon_{\mathcal{A},E}^{PRP}(n) \right| < \frac{q^2}{2 \cdot |D|}$$
 (2.32)

Where q is the maximal number of oracle queries performed by  $\mathcal{A}$  in each run, and the advantage functions are as defined in Equation 2.31 and Equation 2.20.

In particular, if the size of the domain  $\overline{D}$  is exponential in the security parameter n (the length of key and of the input to  $\mathcal{A}$ ), e.g.,  $D = \{0,1\}^n$ , then  $\varepsilon_{\mathcal{A},E}^{PRF}(n) - \varepsilon_{\mathcal{A},E}^{PRP}(n) \in NEGL(n)$ . In this case, E is a pseudorandom permutation over D, if and only if it is a pseudorandom function over D.

## Constructing block cipher, PRP

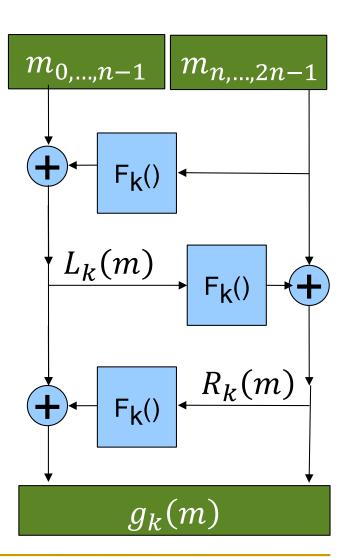
- $\square$  Focus: constructions from a PRF  $f_k(\cdot)$ 
  - □ PRFs seem easier to design (less restrictions)
- $\square$  Before: 'plain' PRP  $E_k()$  (not a block cipher)
- $\square$  Now: construct block cipher (invertible PRP)  $E_k$ ,  $D_k$
- Challenge: making it invertible...
- One solution: The Feistel Construction

## The Feistel Block-cipher Construction

- Turn PRF F<sub>k</sub> into a block cipher
  - Three 'rounds' suffice for security [LR88]

$$L_k(m) = m_{0,...,n-1} \oplus F_k(m_{n,...,2n-1})$$
 $R_k(m) = F_k(L_k(m)) \oplus m_{n,...,2n-1}$ 
 $g_k(m) = L_k(m) \oplus F_k(R_k(m)) \oplus R_k(m)$ 

- Used in DES (but not in AES)
  - With 16 'rounds'
  - (DES and AES are some encryption schemes used in practice, AES replaced DES. They do not have security proofs)



## Crypto Building Blocks Principle

- Design and focus cryptanalysis efforts on few basic functions: simple, easy to test, replaceable
- Construct schemes from basic functions
  - Provably secure constructions: attack on scheme → attack on function
  - Allows replacing broken functions
  - Allows upgrading to more secure/efficient functions
- E.g., encryption from block cipher (or PRG/PRF/PRP)
  - Block-cipher, PRG,PRF,PRP: deterministic, stateless, FIL (Fixed-Input-Length)
  - Encryption: randomized/stateful,VIL (Variable-Input-Length)



We defined security for PRG, PRF and PRP (Block cipher) too (informally).

But...

how about security of encryption??

A bit tricky, in fact.

## Defining Secure Encryption

- Attacker capabilities:
  - Computational limitations → PPT
  - Ciphertext only (COA), Known / chosen plaintext attack (KPA/CPA), Chosen ciphertext (CCA)?
- What's a successful attack?
  - Key recovery ?
    - May be impossible yet weak cipher...
  - (Full) Message recovery?
    - What of partial exposure, e.g., m∈{"Advance", "Retreat"}
  - Prudent: attacker 'wins' for any info on plaintext

## Conservative Design Principle

- When designing, evaluating a cryptosystem...
  - Consider most powerful attacker (COA< KPA< CPA < CCA)</li>
  - Be as general as possible cover many applications
  - And `easiest' attacker-success criteria
    - Not full message/key recovery!
  - Make it easy to use securely, hard to use insecurely!
- When designing, deploying a system (that uses some cryptographic primitives)
  - Restrict attacker's capabilities (e.g., avoid known/chosen plaintext)

# Cryptanalysis Success Criteria for Encryption

- Learn anything at all about plaintext how to define?
   Can we achieve it?
  - Well-defined notion: 'semantic security' [crypto course]
- So an encryption scheme is secure if the attacker cannot learn anything about the plaintext that he did not know in advance.
- Indistinguishability: Eve 'wins' if she can <u>distinguish</u> between encryptions of (any) two messages
  - The attacker chooses these two messages.
  - We focus on indistinguishability for CPA attacker. In crypto course: equivalent to semantic security

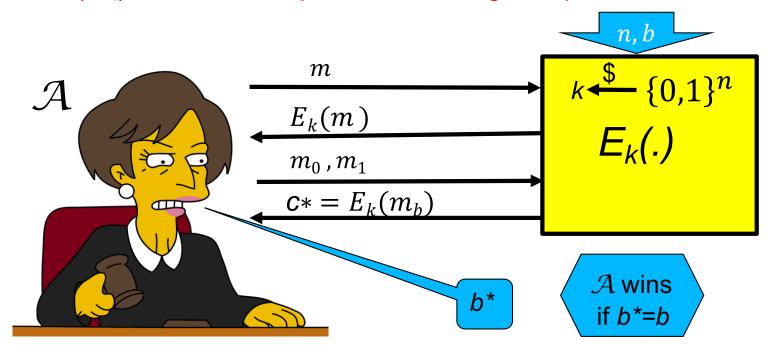
## IND-CPA-Encryption Test (1st try)

- Flip coins to select random bit b and key k
- $\mathcal{A}$  (adversary) gives message m, receives  $E_k(m)$ 
  - Can ask for a polynomial number of encryptions (i.e., can make a polynomial number of encryption oracle queries over any message it wishes)
  - Chosen Plaintext Attack (CPA)
- $\square$  A gives two messages  $(m_0, m_1)$ , receives  $c^* = E_k(m_b)$
- $\square$   $\mathcal{A}$  output  $b^*$ , and 'wins' if  $b^*=b$ m $E_k(m)$  $m_0$  ,  $m_1$  $c* = E_k(m_b)$

#### IND-CPA-Encryption Test (1st try): too easy

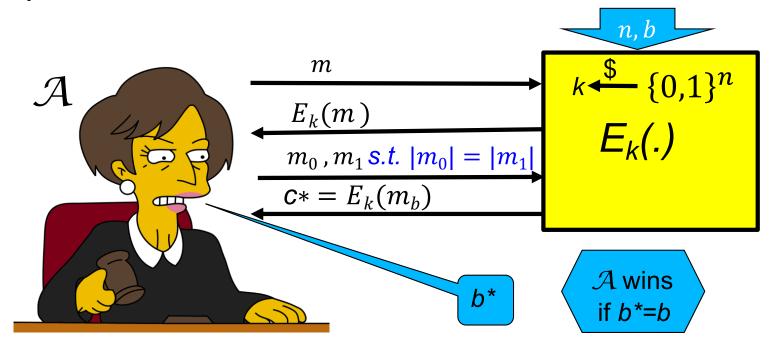
- This test is too easy!! The adversary can easily win!!
- How?
- Hint: messages can be arbitrary binary strings
  - □ Namely,  $m_1, m_0, m_1 \in \{0,1\}^*$

  - If  $c^*=E_k(m_b)$  is `short', output  $b^*=0$ ; if 'long', output  $b^*=1$



## IND-CPA-Encryption Test (fixed)

- Flip coins to select random bit b and key k
- $\square$   $\mathcal{A}$  (adversary) gives message m, receives  $E_k(m)$ 
  - Chosen Plaintext Attack
- $\square$  A gives messages  $(m_0, m_1)$  s.t.  $|m_0| = |m_1|$ , receives  $E_k(m_b)$
- $\square$   $\mathcal{A}$  output  $b^*$ , and 'wins' if  $b^*=b$



## Definition: IND-CPA Encryption

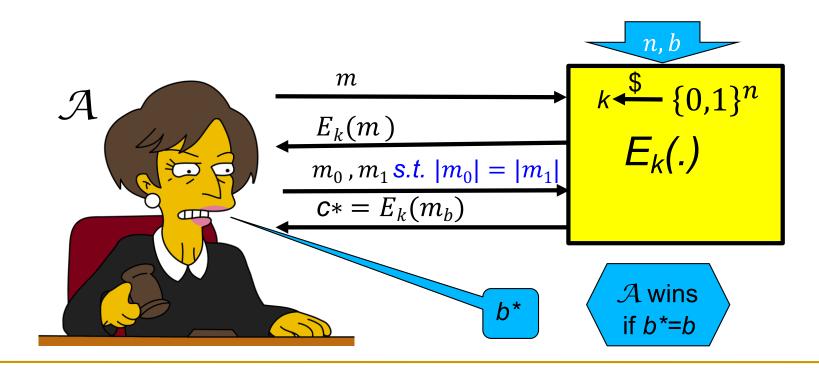
Shared key cryptosystem (E, D) is **IND-CPA**, if every efficient adversary A has negligible advantage:

$$\varepsilon_{\langle E,D\rangle,\mathcal{A}}^{IND-CPA}(n) \equiv \Pr\left[T_{\mathcal{A},\langle E,D\rangle}^{IND-CPA}(1,n) = 1\right] - \Pr\left[T_{\mathcal{A},\langle E,D\rangle}^{IND-CPA}(0,n) = 1\right]$$

```
T_{\mathcal{A},\langle E,D\rangle}^{IND-CPA}(b,n) \{
k \leftarrow \{0,1\}^n
(m_0,m_1) \leftarrow \mathcal{A}^{E_k(\cdot)}(\text{`Choose'},1^n) \text{ s.t. } |m_0| = |m_1|
c^* \leftarrow E_k(m_b)
b^* = \mathcal{A}^{E_k(\cdot)}(\text{`Guess'},c^*)
Return b^*
}
```

#### Can IND-CPA encryption be deterministic?

- □ No!! But why? Suppose  $E_k(m)$  is deterministic...
- □  $\mathcal{A}$  can ask  $E_k$  to encrypt  $m_0$  and  $m_1$  and then check which one is equal to the challenge ciphertext  $\rightarrow$  always wins!
- Conclusion: IND-CPA Encryption must be randomized
  - Even if you encrypt the same m over and over again, a new ciphertext will be produced.



#### What's next?

Present a secure cryptosystem?

... provably secure without assumptions? Unlikely: Proof of security  $\rightarrow$  P  $\neq$  NP (similar argument to PRF)

Instead, let's build secure encryption from PRFs! (I.e.: PRF is secure → encryption is IND-CPA)

Actually, we'll use <u>block cipher</u> (recall the PRF/PRP switching lemma) to build encryption schemes under what is called "Modes of operation."

## Examples

- Let F be a PRF.
  - $\Box E_k(m) = F_k(0^n) \oplus m$ 
    - What is the decryption algorithm?
    - Is this construction a secure encryption scheme? Why?
  - □  $E_k(m) = (r, F_k(r) \oplus m)$  where r is a random string freshly generated for each message.
    - What is the decryption algorithm?
    - Is this construction a secure encryption scheme? Why?

#### Encryption: Modes of Operation

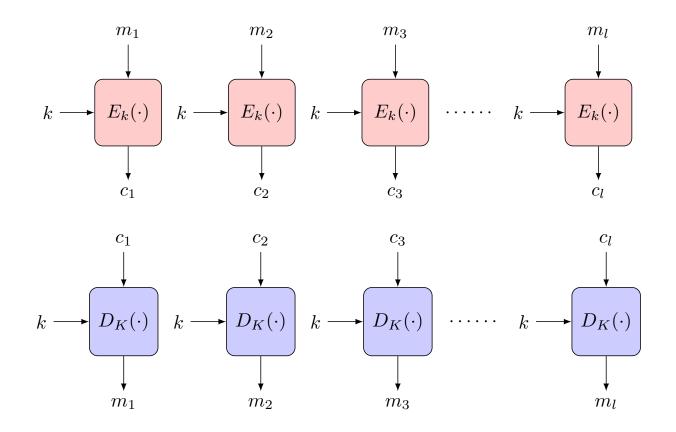
- Modes of operation': use block cipher (PRP), to encrypt long (Variable Input Length, VIL) messages
- Randomize/add state for security
  - Often: use random or stateful Initialization Vector (IV)
- Use long keys
  - Better security (at least against exhaustive search)
- $\square$  Assume plaintext message is in blocks:  $m_0 ||m_1|| \dots$ 
  - An integer number of blocks, each block is n bits.

## Encryption Modes of Operation

Mode	Encryption	Flip bit $c_i[j] \Rightarrow$	Properties
Electronic code book (ECB)	$c_i = E_k(m_i)$	Corrupt $m_i$	Insecure (not IND-CPA)
Counter (CTR) [simplified]	$c_i = m_i \oplus E_k(s)$ $s \leftarrow s + 1 \text{ (stateful)}$	Flip $m_i[j]$ (no integrity)	Stateful, parallelizable, preprocessing
Output Feedback (OFB)	$ \begin{vmatrix} r_0 \stackrel{\$}{\leftarrow} \{0,1\}^n, r_i = E_k(r_{i-1}), \\ c_0 \leftarrow r_0, c_i \leftarrow r_i \oplus m_i \end{vmatrix} $	Flip $m_i[j]$ (no integrity)	Parallelizable, preprocessing
Cipher Block Chaining (CBC)	$c_0 \stackrel{\$}{\leftarrow} \{0,1\}^n, c_i \leftarrow E_k(m_i \oplus c_{i-1})$	Flip $m_{i+1}[j]$ $\Rightarrow \text{corrupt } m_i$	Parallel decryption

#### Electronic Code Book mode (ECB) I

- Encryption  $c_i = E_k(m_i)$ , decryption  $m_i = D_k(c_i)$ 
  - Each message m is composed of l blocks,  $m_1 ext{...} m_l$ , and each  $m_i$  is n bit block, and same for c and  $c_i$

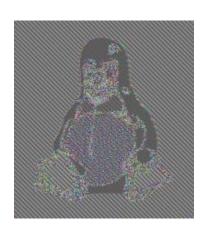


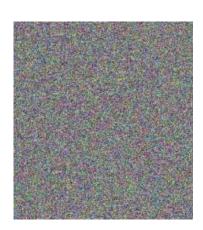
#### Electronic Code Book mode (ECB) II

■ Encryption  $c_i = E_k(m_i)$ , decryption  $m_i = D_k(c_i)$ 

**Insecure!!** (do not use it!) Which of these is ECB encryption? Why?

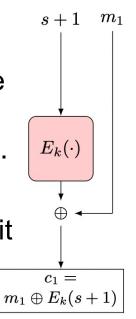


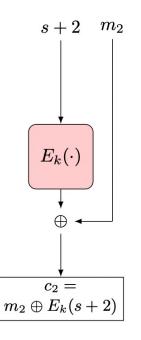


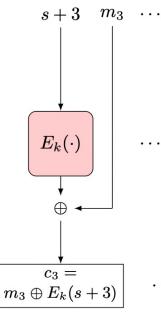


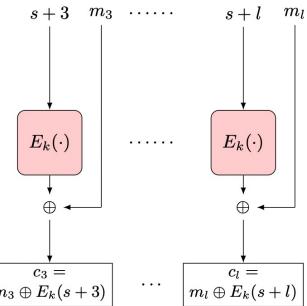
#### Counter (CTR) Mode

- Random counter (or `initialization vector', IV, or s)
  - i > 0:  $c_i = E_k(s+i) \oplus m_i$
  - $m_i = E_k(s+i) \oplus c_i$
- Parallel encryption and decryption with offline precomputing
- How to decrypt?
- If a PRF is used as the PRP (or  $E_k$ ), then it is CPA (provably secure).
- Error propagation:
  - In the flip bit in  $c_i \rightarrow$  flip bit in  $m_i$









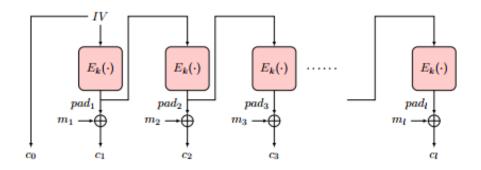
#### Output-Feedback (OFB) Mode

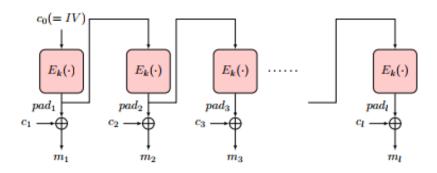
- Goal: encrypt long (multi-block) messages, with less random bits
- How? Use random bits only for first block (`initialization vector')
  - To encrypt next blocks of message, use output of previous block
  - Namely, a block-by-block stream cipher
- Encryption:  $pad_0 \leftarrow IV$ ,  $pad_i \leftarrow E_k(pad_{i-1})$ ,  $c_0 \leftarrow pad_0$ ,  $c_i \leftarrow pad_i \oplus m_i$
- Decryption:

$$pad_0 \leftarrow c_{0,}$$

$$pad_i \leftarrow E_k(p_{i-1}),$$

$$m_i \leftarrow pad_i \oplus c_i$$



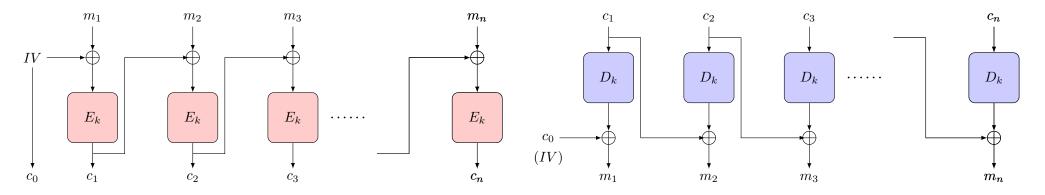


#### Output-Feedback (OFB) Mode

- Offline pad computation: compute pad in advance
  - Online computation: only (parallelizable) XOR!
- Bit errors are bitwise localized
  - Corrupting a one bit in the ciphertext corrupts only one bit in the plaintext.

## Cipher Block Chaining (CBC) Mode

- Random first block  $c_{\theta}$  (`initialization vector', *IV*)
- $i > 0: c_i = E_k(c_{i-1} \oplus m_i), m_i = c_{i-1} \oplus D_k(c_i)$



#### Parallel decryption

- But no offline precomputing
- How about encryption? Sequential (it is a chain!)
- Error propagation:
  - If In  $c_i \rightarrow f$  In  $m_{i+1}$  and corrupt  $m_i$

## Security of CBC mode

- Theorem: If block-cipher E is a (strong) <u>pseudo-random permutation</u> → CBC is IND-CPA-secure encryption
- Proof: omitted (crypto course © )
- Observation: CBC is Not IND-CCA-Secure
  - CCA (Chosen ciphertext attack), intuitively: attacker can choose ciphertext and get its decryption, except for the `challenge ciphertext'
  - Definition, details: crypto course
  - Exercise: show CBC is Not IND-CCA-Secure
  - Other variants of CBC exists that are CCA secure.

#### Covered Material From the Textbook

- ☐ Sections 2.8, 2.9, and 2.10 excluding:
  - **2.9.6**
  - **□** 2.10.4
  - **2.10.7**
  - **□** 2.10.8
  - **2.10.10**
  - ☐ CCA security

## Thank You!

